

Stock Delivery Request Form

Stock Transfer Gifting

Date	Wells Fargo Investments ("WFI") Account Number	Name on WFI Brokerage Account
Financial Consultant/Sales Assistant Name (not applicable to <i>Wells Trade</i>)		Financial Consultant/Sales Assistant Phone (not applicable to <i>Wells Trade</i>)

Share/Quantity (No Fractional Shares)	Stock/Name	Symbol or 9-digit CUSIP	Receiving Firm Clearing Number	Receiving Firm Account Number	Account Name at Receiving Firm

If requesting more than 5 positions, please attach a list of those positions to this Stock Delivery request form.

Comments/Special Instructions

- Mutual Funds/Federal Securities/Annuities/Physical Securities/Cash are not eligible to be delivered using this form.
- Individual Retirement Account (IRA) assets cannot be delivered using this form.
- Full account transfers cannot be processed using this form.
- All client signatures must be on this form or on a letter of authorization attached to this form.
 - If this is a Corporate account, a Corporate Resolution must be attached showing the authorized signer(s) for this account.
 - If this is a Trust account, all trustee signatures must be on this form or on a letter of authorization attached to this form.
- Stock delivery requests received in Operations by 3:00 pm CT will be submitted to the receiving firm within 1 business day.
- This form may be faxed to the Account Transfers Department at: 612.343.6564 **Attention: Stock Transfer Request**

I/We authorize Wells Fargo Investments, LLC to deliver the requested assets from my/our brokerage account to the firm referenced above.

▶ Signatures

Account Holder's Signature (Required to process request)	Date (Required to process request)
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X

Joint Account Holder's Signature	Date
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X

If you wish to remain anonymous, please check here or your name may be provided to receiving firm.

Signature Guarantee (For Internal Use Only)

How to Complete the Stock Delivery Request Form



Instructions

- ① Mark the appropriate box if this is a Stock Transfer or a Gift.
 - a. **Stock Transfers:** This is a transfer of stock between accounts with the same registration.
 - b. **Gift:** This is a transfer of stock between accounts with different registrations. (John Doe is transferring stock to Children's Hospital)
- ② Print today's date.
- ③ Print the Wells Fargo Investments (WFI) 8-digit account number.
- ④ Print the name (registration) as identified on the WFI Brokerage account.
- ⑤ Print the name of the Financial Consultant or Sales Assistant requesting this delivery.
- ⑥ Print the telephone number of the person requesting the delivery of stock. *WellsTrade/Online Accounts use client telephone number.*
- ⑦ Print the share quantity to be delivered (must be whole shares).
- ⑧ Print the stock name.
- ⑨ Print the stock symbol or the 9-digit CUSIP number.
- ⑩ Print the 4-digit clearing number of the receiving firm.
- ⑪ Print the customers account number at the receiving firm.
- ⑫ Print the name of the account at the receiving firm.
- ⑬ Print any additional comments or special instructions.
- ⑭ Client(s) must sign in this section.
- ⑮ Client(s) must date this form in this section. Signature and date is required to process the request.
- ⑯ *WellsTrade/Online Accounts require signature guarantee for processing.*

Stock Delivery Request Form

Stock Transfer
 Gifting

② Date

③ Wells Fargo Investments ("WFI") Account Number

④ Name on WFI Brokerage Account

⑤ Financial Consultant/Sales Assistant Name (not applicable to Wells Trade)

⑥ Financial Consultant/Sales Assistant Phone (not applicable to Wells Trade)

⑦ Share/Quantity (No Fractional Shares)	⑧ Stock Name	⑨ Symbol or 9-digit CUSIP	⑩ Receiving Firm Clearing Number	⑪ Receiving Firm Account Number	⑫ Account Name at Receiving Firm

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▶ Signatures

⑭ Account Holder's Signature (Required to process request)
X

Date (Required to process request)

⑮ Joint Account Holder's Signature
X

Date

If you wish to remain anonymous, please check here or your name may be provided to receiving firm.

Signature Guarantee (For Internal Use Only)

WellsTrade accounts are available through Wells Fargo Investments, LLC (member SIPC), a non-bank affiliate of Wells Fargo & Company.

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